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Approved for use through 07/31/2006. OMB 0651-0031 U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number. Application Number 10/786.378 Filing Date TRANSMITTAL February 25, 2004 **FORM** First Named Inventor Art Unit 3754 **Examiner Name** Not Yet Assigned (to be used for all correspondence after initial filing) Attorney Docket Number **SPD-101US** Total Number of Pages in This Submission **ENCLOSURES** (Check all that apply) After Allowance Communication to TC Fee Transmittal Form Drawing(s) Appeal Communication to Board Licensing-related Papers of Appeals and Interferences Fee Attached Appeal Communication to TC Petition (Appeal Notice, Brief, Reply Brief) Amendment/Reply Petition to Convert to a **Proprietary Information** After Final Provisional Application Power of Attorney, Revocation Status Letter Affidavits/declaration(s) Change of Correspondence Address Other Enclosure(s) (please Identify Terminal Disclaimer Extension of Time Request below): Request for Refund Express Abandonment Request CD, Number of CD(s) Information Disclosure Statement Landscape Table on CD Certified Copy of Priority Remarks Document(s) Reply to Missing Parts/ Incomplete Application Reply to Missing Parts under 37 CFR 1.52 or 1.53 SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT Firm Name Jansson, Shupe & Munger, Ltd., 245 Main Street, Racine, WI 53403 Signature Printed name John E. Munger Date Reg. No. 37,685 May 9, 2005 CERTIFICATE OF TRANSMISSION/MAILING I hereby certify that this correspondence is being facsimile transmitted to the USPTO or deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on the date shown below: Signature Date May 2003 Typed or printed name

This collection of information is required by 37 CFR 1.5. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE In Patent Application of: David J. Hill Serial No.: 10/786,378 Filed: February 2, 2004 Title: BI-LEAVED VALVE APPARATUS AND TRADEMARK OFFICE Croup Art Unit: 3754 Examiner: Not Yet Assigned

## PETITION FOR EXPUNGEMENT OF INFORMATION OR COPY OF PAPERS IN APPLICATION FILE

Pursuant to 37 C.F.R. section 1.59 and the Manual of Patent Examining Procedure sections 724.02 and 724.05, Spee-Dee Packaging Machinery, Inc., the Petitioner and Assignee, (the "Petitioner"), requests that the Technology Center Director grant this Petition to Expunge Information or Copy of Papers in Application File at the close of prosecution on the merits.

- 1. Contemporaneously with the submission of this Petition, Petitioner submits a two-page document, including drawings of a valve apparatus for controlling bulk material flow. The two-page document is located in the enclosed sealed enveloped labeled "PROPRIETARY MATERIAL NOT OPEN TO PUBLIC. TO BE OPENED ONLY BY EXAMINER OR OTHER AUTHORIZED U.S. PATENT AND TRADEMARK EMPLOYEE." Also separately filed, Petitioner submits a Supplementary Information Disclosure Citation and an Information Disclosure Statement for Proprietary Documents, each including disclosure of the two-page document.
- 2. Upon information and belief, the aforementioned documents are owned by a party other than Petitioner ("Owner"). Petitioner became aware of the existence of these documents when the Owner of the documents voluntarily provided a copy of said documents to Petitioner. Owner's transmission of the documents to Petitioner was not provided for the purposes of this patent prosecution.

In re Patent Application of: David J. Hill Serial No. 10/786,378

- 3. Petitioner has no direct personal knowledge as to the authenticity of the documents or whether they constitute prior art to the above-identified patent application. However, to ensure compliance with the duty of disclosure, Petitioner believes it is constrained to provide this information to the Office. See 37 C.F.R. §§ 1.56(c), 1.555. See also MPEP §§ 724, 2001.
- 4. Upon information and belief, the enclosed documents are private and have not otherwise been made public (the "Proprietary Documents").
- 5. Because the enclosed documents might include proprietary information of Owner, Petitioner believes it would be appropriate to expunge such documents.
- 6. Petitioner is committed to retain the Proprietary Documents for the period of any patent which might issue with regard to which the documents are submitted.
- 7. Petitioner, the party in interest, submits this Petition for Expungement and also submits the Proprietary Documents concurrently with the Petition for Expungement. The Petition will be acted upon at the conclusion of prosecution on the merits.

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The Commissioner is authorized to debit deposit account 10-0270 for the full amount of any fee which may be due in connection with this Request for Expungement under 37 C.F.R. sections 1.17(h) or (i). Kindly notify the undersigned of the amount the deposit account is debited.

Respectfully submitted,

John E. Munger Registration No. 37, 685

Jansson, Shupe & Munger, Ltd. 245 Main Street Racine, WI 53403-1034 (262) 632-6900 Attorney Docket No. SPD-101US

**CERTIFICATE OF MAILING** 

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail, with sufficient postage, in an envelope addressed to: MAIL STOP PETITION, COMMISSIONER FOR PATENTS, P.O. BOX 1450, ALEXANDRIA, VA 22313-1450 on May 9, 2005.

John E. Munger

Name

MAY 9, 2005



## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Patent Application of:

David J. Hill

Attorney Docket No. SPD-101US

Serial No. 10/786,378

Group Art Unit: 3754

Filed: February 25, 2004

Examiner: Not Yet Assigned

Title: BI-LEAVED VALVE APPARATUS

COPY

Jansson, Shupe & Munger, Ltd. 245 Main Street Racine, WI 53403-1034 May 9, 2005

MAIL STOP DD Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Dear Sir:

## INFORMATION DISCLOSURE STATEMENT FOR PROPRIETARY DOCUMENTS

Attached is a completed Information Disclosure Statement (IDS) form and a copy of each document listed thereon. The statement is believed to be timely filed pursuant to 37 C.F.R. § 1.97(b)(3) because, as far as Applicant is aware, the first office action has not yet been mailed. Applicant submits the identified documents for consideration under the Manual of Patent Examining Procedure section 724.

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Consideration of each such document by the Director is respectfully requested. Pursuant to section 609 of the Manual of Patent Examining Procedure, the Director is requested to return an initialed copy of such PTO-1449 form with the next communication, to indicate that such documents have been considered.

Applicant wishes to provide comments with respect to certain of the accompanying references as follows:

The materials listed on the IDS are the subject of a Petition for Expungement of Information or Copy of Papers in Application File that has been concurrently filed by Petitioner and Assignee, Spee-Dee Machinery Packaging, Inc. There are two documents, labeled "PROPRIETARY DOCUMENT 1 OF 2" and "PROPRIETARY DOCUMENT 2 OF 2." Upon information and belief, each of the documents is private and has not otherwise been made public. On the back of each document is located a label containing the application number, filing date, title of invention, and group art unit number for the above-identified patent application for which the Proprietary Documents are submitted. Neither document is dated or titled. Please initial and return the Form 1449 to reflect that the document has been considered.

This submission is not a representation that any such document is prior art to this application; the right to establish otherwise, pursuant to 37 C.F.R. 1.131 or otherwise, is reserved.

The listed documents are not represented to be material to the examination of this application; such documents are cited, however, to make it clear beyond any doubt that there has

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Hill

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been compliance with the Duty of Disclosure. Applicant does not concede each item cited is relevant but the items are cited to show the general background of the art.

Respectfully submitted,

John E. Munger

Registration No. 37,685

Attorneys for Applicant:

Jansson, Shupe & Munger, Ltd. 245 Main Street, Suite M Racine, WI 53403-1034 Telephone: 262/632-6900

CERTIFICATE OF MAILING

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John E. Munger

Name

Signature

-A

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